Beceipt date: 02/14/2012

10593573 - GALL: 1743 Approved for use through 07/31/2012. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Doc description: Information Disclosure Statement (IDS) Filed Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number		10593573		
Filing Date		2007-01-17		
First Named Inventor	Peter Hesse			
Art Unit		1743		
Examiner Name	Mary	lary Lynn F. Theisen		
Attorney Docket Numb	er	10-378-WO-US		

			Remove				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1	5247052		1993-09-21	Lucke et al.		
	2	5370911		1994-12-06	Throne et al.		
	3	6136948		2000-10-24	Dickens Jr., et al.		
	4	5925888		1999-07-20	Ash et al.		
	5	6067480		2000-05-23	Stuffle, et al.		
	6	6949216		2005-09-27	Brice et al.		
If you wis	If you wish to add additional U.S. Patent citation information please click the Add button. Add						
			U.S.P	ATENT APPLIC	CATION PUBLICATIONS	Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	

			Filing Date				2007-01-17			
		TION DISCLOS		First N	First Named Inve		eter	Hesse		
		NT BY APPLIC		Art Ur	nit			1743		
(NOT IOI	Subili	ission under 37 CFF	1.55)	Exam	iner Na	me M	агу	Lynn F. Theisen		
				Attorney Docket Numb				10-378-WO-US		
	1	20040023145	A1	2004-02	2004-02-05 Moussa et al.					
	2	20060103054	A1	2006-05	5-18	Pfeifer et al.				
	3	20070238056	A1	2007-10)-11	Baumann et al.				
	4	20060134419	A1	2006-06	3-22	Monsheimer et al.				
If you wis	h to a	dd additional U.S. Pul	olished A	pplication	citation	n information	ple	ase click the Add butto	on. Add	
							_		Remove	
				FUREI	JN PAI	TENT DOCUM	MFL	115	Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	Count Code ²	ry	Kind Code4	Publication	N A	lame of Patentee or applicant of cited occument	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
				ry	Kind	Publication	N A C	lame of Patentee or	Pages,Columns,Lines where Relevant Passages or Relevant	T5
	No	Number ³	Code ²	ry	Kind Code4	Publication Date	B	lame of Patentee or pplicant of cited locument	Pages,Columns,Lines where Relevant Passages or Relevant	T5
	No 1	Number ³ 2002/070031	Code ² WO	ry	Kind Code4	Publication Date	B L G	lame of Patentee or ppplicant of cited locument LZ Bayerische S aserzentrum iemeinnutzige	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1 2	Number ³ 2002/070031 103 26 919	WO DE	ry	Kind Code4 A1	Publication Date 2002-09-12 2004-01-08	B L G	lame of Patentee or pplicant of cited locument LZ Bayerische S aserzentrum remeinnutzige laimierChrysler AG raunhofer-Gesellschaft ur Forderung Der	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5

Application Number

10593573

10593573 - GAU: 1743

Receipt date: 02/14/2012

'											
INFOR	NFORMATION DISCLOSURE STATEMENT BY APPLICANT Not for submission under 37 CFR 1.99)							2007-01-17			
				First I	Named	Inventor	Pete	Hesse			
				Art Unit 1				1743	1743		
(NOT IOI				Examiner Name Mar			Mary	y Lynn F. Theisen			
				Attorney Docket Number			er	10-378-WO-US			
					-						
				1				Ť			
	6	25649	971	CA			2005-11-1	0	DeGussa AG		
	7	10 20	04 020 452	DE		A1	2005-12-0	11	DeGussa AG	see above-cited US2007/0238056, which claims priority to DE 10 2004 020 452 A1	
	8	2530	762	CA			2006-06-2	1	DeGussa AG		
	9	1994/	15999	wo		,	1994-07-2	:1	Basf Ak-Tiengesellschaft		
	10	1 674	497	EP			2006-06-2	8	DeGussa AG	equivalent to US2006/0134419	
If you wis	h to a	dd add	litional Foreign F	atent Do	cument	citation	information	n ple	ase click the Add butto	n Add	
				NON	I-PATE	NT LITI	ERATURE	DOC	UMENTS	Remove	
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							T5		
	1										
If you wis	h to a	dd add	litional non-pate	nt literatu	re docu	ment ci	tation infor	matio	n please click the Add	button Add	
					EX	AMINE	R SIGNA	TURE	l		_
Examiner	Signa	ature	/Mary	Lynn The	eisen/ (04	4/09/201	2)		Date Considered	04/09/2012	
									rmance with MPEP 609 ith next communication	. Draw line through a	

Application Number

10593573

Receipt date: 02/14/2012

10593573 - GAU: 1743

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

	CERTIFICATION STAT	EME	NT		
	Attorney Docket Numb	er	10-378-WO-US		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Examiner Name	Магу	Lynn F. Theisen		
	Art Unit		1743		
INFORMATION DISCLOSURE	First Named Inventor Peter Hesse		Hesse		
INFORMATION DIGGI COURT	Filing Date		2007-01-17		
Receipt date: 02/14/2012	Application Number		10593573	10593573 - GAU: 1	743
Receint date: 02/14/2012	Application Number		10593573	10593573 - GALI	• 1

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1-97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.76(a)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Scott M. Miller/	Date (YYYY-MM-DD)	2012-02-14
Name/Print	Scott M. Miller	Registration Number	62,967

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22314-450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

Receipt date: 02/14/2012

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement necotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about Individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an iscus patient.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.